

REPORT TO:	GENERAL PURPOSES AND AUDIT COMMITTEE 15 March 2018
SUBJECT:	Corporate Risk Register
LEAD OFFICER:	Executive Director Resources & S151 Officer
CABINET MEMBER	Councillor Simon Hall, Cabinet Member for Finance and Treasury
WARDS:	All
CORPORATE PRIORITY/POLICY CONTEXT:	
<p>This report presents the corporate risk register as at 15 March 2018 as part of the General Purposes and Audit Committee's role of overseeing the risk management framework and receiving assurance that significant corporate (Red) risks are identified and mitigated by the organisation. This process will ensure that the risk management function will continue to contribute to the achievement of the Council's vision, key priorities and objectives.</p> <p>In line with the Council's commitment to openness and transparency, the corporate risk report will appear in Part A of the agenda unless there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).</p>	
FINANCIAL SUMMARY: No additional direct financial implications.	
FORWARD PLAN KEY DECISION REFERENCE NO.: N/A	

1.1 RECOMMENDATIONS

The Committee is asked to:

Note the contents of the corporate risk register as at 15 March 2018

2. EXECUTIVE SUMMARY

2.1 The report updates the General Purposes & Audit Committee Members on the corporate risk register (the register) as at 15 March 2018.

3. DETAIL

Risk Register Report

- 3.1 The register presented details all the current corporate risks rated at a total risk score of 20 and above (Red Risks).
- 3.2 Since the register was last considered by Members, the following new risk has been **escalated** to red status

EHCS0010. Risk of exploitation of young people in the Borough particularly in relation to peer on peer activities and children missing from home and care. The risk rating was increased from 16 (high amber) to 20 (red) to reflect the issues, nationally, regionally and locally in this area. There is growing national awareness of the risks to teenagers around sexual exploitation, including County Lines and gang activities. These are a major issue in London. As Croydon has the largest population of teenagers in London and high numbers of children placed in the authority by other LA's, as well as high numbers of Unaccompanied Asylum Seeking Children, this is rightly an area to focus attention. Concern was raised in respect of exploitation of young people within the Ofsted Report (September 2017) and this area will be the focus of the Ofsted Monitoring Visit that is scheduled for March 2018, especially given Ofsted's growing interest in 'contextual safeguarding'.

The following risks have been **de-escalated** to 'high amber' since the report was last considered by Members

DASHPD0043. The delivery of Outcomes Based Commissioning disruption. The risk was reviewed at People Department Leadership Team (DLT) meeting on 19/12/2017 and assessed as high amber (risk score of 16, previously scored at 20) following the implementation of the corporate OBC operating model and the resilience the model provides to mitigate.

EYE0008. Risk that the SEND Service could fail to achieve its objectives and is therefore unable to meet all statutory requirements. The risk rating was reduced from 20 (red) to 16 (high amber) following consideration at the Departmental Management Team meeting on 20th February 2018. It was identified that the delivery of statutory requirements was being achieved, following significant Service re-organisation and restructure, to a level which allowed the Service to react rapidly and therefore rectify any emerging issues.

- 3.3 In line with the Council's commitment to openness and transparency, the register will appear with the corporate risk report in Part A of the agenda unless, in accordance with the Access to Information Procedure Rules in the Council's Constitution there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).

- 3.4 It should be noted that some of the grounds for exemption from public access are absolute. However, for others such as that in para.3, 'Information relating to the financial or business affairs of any particular person (including the authority holding that information)', deciding in which part of the agenda they will appear, is subject to the further test of whether, in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information. .

4. FINANCIAL CONSIDERATIONS

- 4.1 There are no additional financial considerations arising from this report.

(Approved by Lisa Taylor – Director of Finance, Investment & Risk and Deputy S151 Officer)

5. COMMENTS OF THE COUNCIL SOLICITOR AND MONITORING OFFICER

- 5.1 The Council Solicitor advises that there are no additional legal considerations arising from this report.

(Approved by: Jacqueline Harris-Baker, Director of Law & Monitoring Officer)

6. HUMAN RESOURCES IMPACT

- 6.1 There are no additional Human Resources implications arising from this report.

(Approved by: Sue Moorman, Director of HR)

7. EQUALITIES, ENVIRONMENTAL AND CRIME AND DISORDER REDUCTION IMPACTS

- 7.1 None

8. RISK ASSESSMENT

- 8.1 No further risk issues other than those detailed in the report.

- 8.2 The corporate Risk Management Team (RMT) incorporates a '**horizon scan**' strategy in respect of the risk management activities undertaken as part of the Council's Risk Management Framework.

The horizon scan strategy is implemented through the distillation of cross – organisational & external professional networks maintained by the RMT. This strategy incorporates a multi-faceted approach including:

- intelligence sharing (especially in respect of significant events / incidents) with other local authorities, the LGA etc;
- collaborative working particularly the London Boroughs network, London Councils and the GLA;

- research conducted via professional and generic media mechanisms for example Association of Local Authority Risk Mangers, CIPFA etc;
- regular attendance at DMT's / DLT's on a quarterly basis; and
- participation in the relevant 'working group' activities / projects for example major systems implementation such as Oracle Cloud, or policy/legislative change implementation such as IR35 compliance;

The ability to 'add value' and strategic direction and guidance is an integral aspect of the risk management consultancy available to senior officers.

9. FREEDOM OF INFORMATION/DATA PROTECTION CONSIDERATIONS

- 9.1 Information contained in the Council's Risk register or held in relation to the Council's risk management procedures may be accessible under the Freedom of Information Act subject to the application of any relevant exemptions, such as commercial sensitivity and whether disclosure was in the 'public interest'.

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APPENDICES:

Appendix 1 Corporate Risk Register